



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

MAR 18 2002

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 10551/252

First Inventor BLUM, et al

Title FLOOR MAT SUPPORT AND DRAINAGE STRUCTURE

Express Mail Label No.

RECEIVED  
MAR 20 2002

OFFICE OF PETITIONS

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 47]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 33]
5. Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) PTO-1449 [Copies of IDS Citations]
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_ /

Prior application information: Examiner \_\_\_\_

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

William E. Curry

Registration No. (Attorney/Agent)

43,572

Signature

Date

December 20, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

COPY OF PAPERS  
ORIGINALLY FILED



Patent  
10551/252  
Serial No. 10/022,433

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BLUM, et al.

Serial No.: 10/022,433

Filed: December 20, 2001

For: FLOOR MAT SUPPORT AND  
DRAINAGE STRUCTURE

Examiner: Unassigned

Art Unit: Unassigned

RECEIVED  
MAR 20 2002  
OFFICE OF PETITIONS

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
**ATTENTION: BOX MISSING PARTS**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**DATED JANUARY 18, 2002**

SIR:

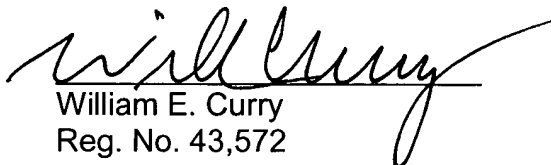
Enclosed herewith are the following:

- (1) Copy of Notice to File Missing Parts of Application, dated January 18, 2002
- (2) Executed Declaration (4 pages)

The Commissioner is authorized to charge \$130.00 for late filing fees or credit any overpayments associated with this reply to Deposit Account No. 11-0600. Please contact the undersigned if any questions remain with respect to the enclosed Notice. Applicants respectfully request issuance of a Filing Receipt.

Respectfully submitted,

Dated: March 18, 2002

  
William E. Curry  
Reg. No. 43,572

KENYON & KENYON  
1500 K Street, N.W., Suite 700  
Washington, D.C. 20005  
Tel.: (202) 220-4200  
Fax: (202) 220-4201

**COPY OF PAPERS  
ORIGINALLY FILED**

#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/022,433	12/20/2001	Blum	10551/252

23838  
KENYON & KENYON  
1500 K STREET, N.W., SUITE 700  
WASHINGTON, DC 20005

CONFIRMATION NO. 5203

## FORMALITIES LETTER



\*OC000000007334369\*

Date Mailed: 01/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

RECEIVED  
MAR 20 2002  
OFFICE OF PETITIONS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

*Blum T D*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/19/2002 CHNGUYEN 00000097 110600 10022433

01 FC:101	740.00 CH
02 FC:102	672.00 CH
03 FC:103	270.00 CH
04 FC:105	130.00 CH

COPY OF PAPERS  
ORIGINALLY FILED

# 3  
DUE \$

PATENT (S)

Docket No. 10551/252

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****RECEIVED**  
MAR 20 2002  
OFFICE OF PETITIONS

In re application of : BLUM, et al.  
Serial No. : 10/022,433  
Filed : December 20, 2001  
For : FLOOR MAT SUPPORT AND DRAINAGE  
STRUCTURE  
Group Art Unit : Unassigned  
Examiner : Unassigned

ASSISTANT COMMISSIONER  
FOR PATENTS  
Washington, DC 20231

**VERIFICATION OF LARGE ENTITY STATUS AND  
PAYMENT OF DEFICIENT FEES UNDER 37 C.F.R. § 1.28(c)**

S I R:

The Applicants have noted an error in the original filing of the application. The application transmittal letter and fee transmittal notes that the Applicants claim small entity status. A copy of the transmittal letter and the fee transmittal are enclosed. Since the small entity status was established in error and the error was in good faith, the Applicants request that the small entity status be removed and that the Office charge the deficient fees to Deposit Account No. 11-0600.

Adjustment date: 03/19/2002 CMGUYEN  
12/26/2001 HOLDER1 00000009 110600 10022433  
01 FC:201 370.00 CR  
02 FC:202 8 336.00 CR  
03 FC:203 15 135.00 CR

**Itemization of Deficiency Payment:**

Basic National Filing Fee.....\$370.00 (\$370.00 paid as small entity on 11/2/01)  
Extra Claims Fee.....\$471.00 (\$471.00 paid as small entity on 11/2/01)  
**DEFICIENCY.....\$841.00**

Accordingly, the Office is authorized to charge \$841.00 (deficiency) and the remaining declaration surcharge of \$130.00 to Deposit Account No. 11-0600. The Office is also authorized to charge any additional fees or credit any overpayment arising from this communication to the same account.

The Office is also requested to provide the Applicant with a Corrected Filing Receipt. This request is made under separate cover and filed concurrently herewith.

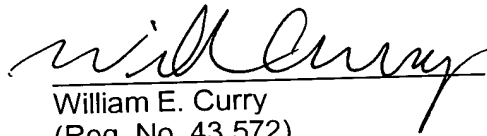
**COPY OF PAPERS  
ORIGINALLY FILED**

The Office is invited to contact the undersigned to discuss any matters related to the prosecution of this patent application.

Respectfully submitted,

KENYON & KENYON

Dated: March 18, 2002

  
William E. Curry  
(Reg. No. 43,572)

1500 K Street, N.W., Suite 700  
Washington, DC 20005  
Tel: (202) 220-4200  
Fax: (202) 220-4201